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PTO/SB/05 (03-01)

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PATENT APPLICATION TRANSMITTAL

Attorney Docket No. SONYJP 3.0-202 First Inventor Tatsuya Wakahara Title INFORMATION PROCESSING DEVICE etc.

Date

August 17, 2001

110 110 1111 1712				71110	IN ORMATION I ROOLSSING DEVICE, etc.								
(0	Only for new non	provisional applications und	der 37 CFR 1.53(b))	Express	ess Mail Label No.	ſ							
		APPLICATION ELI	EMENTS		ADDRESS TO COMMISSIONER TO PAGE ILS	J							
Se		er 600 concerning utility p		ents.	Washington, DC 20231								
1. 2 3.	X Fee Trai (Submit ar Applicar Applicar See 37 (X Specific	nsmittal Form (e.g., PTO/n original, and a duplicate for fe original, and a duplicate for fe original, and a duplicate for fe original small entity status. CFR 1.27 action of arrangement set forth belongitive title of the invention set ference to Related Appliment Regarding Fed sponserence to sequence listing, a tocomputer program listing appropriate of the invention Summary of the invention Description of the Drawings led Description of the Drawings led Description (is) act of the Disclosure (is) (35 U.S.C. 113)	SB/17) e processing) is. [Total Pages 17 w) cations ored R & D lable, pendix		7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8 Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. paper c. Statements verifying identity of above copies ACCOMPANYING APPLICATIONS PARTS 9 Assignment Papers (cover sheet & document(s)) 10. 37 CFR 3.73(b) Statement (when there is an assignee) Attorney 11. English Translation Document (if applicable) 12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations								
6.	b. Cop	only executed (original or control of the prior application continuation/divisional with EDELETION OF INVENTO Signed statement attached inventor(s) named in the prisee 37 CFR 1 63(d)(2) and on Data Sheet. See 37 Ci	(37 CFR 1.63(d)) sox 18 completed) DR(S) deleting or application, 1 33(b)	1	13 Preliminary Amendment 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. X Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. X Other. Unexecuted Declaration								
	18 If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1 76: Continuation Divisional Continuation-in-part (CIP) of prior application No												
For	r CONTINUATI	onsidered a part of the di	isclosure of the accon elied upon when a por	npanying o rtion has b	Group / Art Unit. of the prior application, from which an oath or declaration is supplied g continuation or divisional application and is hereby incorporated by seen inadvertently omitted from the submitted application parts.								
			19. CORI	RESPON	ONDENCE ADDRESS	_							
X Customer Number or Bar Code Label					or Correspondence address below								
Name						_							
Address													
City	State Zip Code ntry Telephone Fax				Zip Code								
Co	untry	Telephone			Fax	_							
Γ	Name (Print∕1	ype) Robert B.	Çehen 2/		Registration No. (Attorney/Agent) 32,768	Ī							
	Signature	Val	1, 4/10	\mathcal{J}_{i}	Date August 17, 2001								

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PTO/SB/17 (11-00)

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

respond to a concessor or inform	lation unless it displays a valid OMB control number						
Co	Complete if Known						
Application Number	Not Yet Assigned						
Filing Date							
First Named Inventor	Tatsuya Wakahara						
Examiner Name	Not Yet Assigned						
Group Art Unit	N/A						
Attorney Docket No.	SONY IP 3 0-202						

TOTAL AMOUNT OF PAYMENT (\$) 710.00	****	Attorn	ey Doc	ket No.	SONYJP 3.0-202				
METHOD OF PAYMENT					ALCULATION (continued)				
The Commissioner is hereby authorized to charge indicated focus and gradit as a supersection.	3. A	3. ADDITIONAL FEES							
Indicated fees and credit any overpayments to: Deposit	1	e Entity							
Account Number 12-1095	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description Fee Paid				
Deposit Account Lerner, David, Littenberg,	105	130	205	65	Surcharge – late filing fee or oath				
Name Krumholz & Mentlik, LLP	127	50	227	25	Surcharge – late provisional filing fee or cover sheet.				
X Charge Any Additional Applicant claims small entity status See	139	130	139	130	Non-English specification				
37 CFR 1 16 and 1 17 37 CFR 1 27	147	2,520	147	2,520	For filing a request for ex parte reexamination				
2. Payment Enclosed	112	920*	112	920*	Requesting publication of SIR prior to Examiner action				
Check Credit Card Money Order Other	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action				
FEE CALCULATION	115	110	215	55	Extension for reply within first month				
BASIC FILING FEE Large Entity Small Entity	116	390	216	195	Extension for reply within second month				
Fee Fee Fee Fee	117	890	217	445	Extension for reply within third month				
Code (\$) Code (\$) Fee Description Fee Paid	118	1,390	218	695	Extension for reply within fourth month				
101 710 201 355 Utility filing fee 710.00	128	1,890	228	945	Extension for reply within fifth month				
106 320 206 160 Design filing fee	119	310	219	155	Notice of Appeal				
207 E40 Field timing fee	120	310	220	155	Filing a bnef in support of an appeal				
108 710 208 355 Reissue filing fee	121	270	221	135	Request for oral hearing				
114 150 214 75 Provisional filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding				
SUBTOTAL (1) (\$) 710.00	140 141	110 1,240	240 241	55 620	Petition to revive – unavoidable				
2. EXTRA CLAIM FEES Extra Fee from	142	1,240	242		Petition to revive - unintentional				
Total Claims 15 -20** = X = 0.00				620	Utility issue fee (or reissue)				
Independent	143 144	440 600	243	220	Design issue fee				
Claims			244	300	Plant issue fee				
Multiple Dependent	122	130	122	130	Petitions to the Commissioner				
Large Entity Small Entity	123	50	123	50	Processing fee under 37 CFR 1.17(q)				
Fee Fee Fee Fee	126	180	126	180	Submission of Information Disclosure Stmt				
Code (\$) Code (\$) Fee Description	581	40	581	40	Recording each patent assignment per property (times number of properties)				
103 18 203 9 Claims in excess of 20 102 80 202 40 Independent claims in excess of 3	146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))				
104 270 204 135 Multiple dependent claim, if not paid	149	710	249	355	For each additional invention to be examined (37CFR 1.129(b))				
109 80 209 40 ** Reissue independent claims over onginal patent	179	710	279		Request for Continued Examination (RCE)				
	169	900	169	900	Request for expedited examination of a design application				
110 18 210 9 *** Reissue claims in excess of 20 and over original patent	Other f	ee (speci	ý) 		- v v v v v v v v v v v v v v v v v v v				
SUBTOTAL (2) (\$)	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)								
**or number previously paid, if greater; For Reissues, see above									
SUBMITTED BY					Complete (if applicable)				
Name (print/type) Robert B. Cohen	Registra (Attorne	gtion No. y/Agent)	32,7	68	Telephone (908) 518-6316				
Signature Kolcuff(=	Ci				Date August 17, 2001				